



Canadian Lawyers Liability Assurance Society

2016/2017 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.

Please answer ALL questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): Osler, Hoskin & Harcourt LLP
2. Address of principal office: PO Box 50, First Canadian Place
Toronto, ON M5X 1B8
Phone: (416) 362-2111 Fax: (416) 862-6666
3. Address, phone and fax numbers of other office(s):
see attached
4. Management or service companies, date(s) established and services provided:
Carthos Services LP, established on November 10, 1995, providing admin support to the
law firm of Osler, Hoskin & Harcourt LLP in Canada
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no

If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

N/A

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2016:
- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
 - b) Number of patent & trademark agents (who are not lawyers).
 - c) Number of other non-lawyer consultants.
 - d) Number of paralegals.
 - e) Number of other employees.
 - f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	45.48 %	47.85 %
b) Criminal Law	0.00 %	0.00 %
c) Family Law	0.00 %	0.00 %
d) Intellectual Property	4.27 %	3.90 %
e) Labour Law	1.29 %	1.40 %
f) Litigation	24.26 %	24.03 %
g) Real Estate	4.17 %	3.34 %
h) Securities Law	6.18 %	5.97 %
i) Tax Matters	12.43 %	11.36 %
j) Wills, Estates, Trust	0.15 %	0.21 %
k) Other (please specify)	1.77 %	1.94 %
<u>Pension & Benefits, Translation, Research</u>		

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2015. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. However, updates thus reported are not considered official notice of claim to CLLAS.

If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

Note: CLLAS has conducted a limit benchmarking study and additional limits are available.

15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.
16. Please complete Appendix H to provide underwriting information with respect to cyber liability.
17. Please attach as Appendix I copy of the Firm's 2016 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: David Morritt

(Who shall be the designated contact person-between CLLAS & the Firm as respects this insurance.)

Date: March 24, 2016

OSLER HOSKIN & HARCOURT LLP
LIST OF OFFICES
AS OF DECEMBER 31, 2015

1 **Toronto**

100 King Street West, Suite 6200
1 First Canadian Place, P.O. Box 50
Toronto, Ontario M5X 1B8

2 **Ottawa**

340 Albert Street
Suite 1900
Ottawa, Ontario K1R 7Y6

3 **Montréal**

1000 de la Gauchetière Street West
Suite 2100
Montréal, Québec H3B 4W5

4 **Calgary**

TransCanada Tower
450 - 1st St. S. W., Suite 2500
Calgary, Alberta T2P 5H1

5 **Vancouver**

1055 West Hastings Street
Suite 1700, Guinness Tower
Vancouver, BC V6E 2E9

6 **New York**

620 8th Avenue
36th Floor
New York, N.Y. 10018
U.S.A.

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: Osler, Hoskin & Harcourt LLP

Merger Date

- | | |
|---------------------------|------------------|
| ▪ Herridge, Tolmie | February 1, 1986 |
| ▪ MacDonald & Ferrier | July 1, 1986 |
| ▪ Rogers, Rogers, Moore | March 1, 1988 |
| ▪ Osler Hoskin & Harcourt | |

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2016

Name of Firm: Osler, Hoskin & Harcourt LLP

	CANADA					OUTSIDE OF CANADA ^{/5}	
	B.C.	Alberta	Ontario	Quebec	Other Provinces (Please specify)	U.S.	Other Locations
a) No. of Lawyers ^{/1}	6	71	284	58		18	
b) No. of Patent & Trademark Agents ^{/2}			6				
c) No. of Non-lawyer Consultants ^{/3}							
d) No. of Paralegals	2	6	41	14		1	
e) No. of Other Employees	2	64	454	58		13	
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}							

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Abbott	Mary	Toronto	ON 1998
Aboud	Malcolm	Toronto	ON 2013
Ahmed	Firoz	Toronto	ON 1986
Allen	Jacqueline	Toronto	ON 2012
Anderson	Brett	Toronto	ON 2012
Anton	Robert	Toronto	ON 2012
Ashton	Courtney	Toronto	ON 2010
Au	Victoria	Toronto	ON 2012
Auron	James	Toronto	ON 2015
Austin	Mark	Toronto	ON 1999, AB 1997
Aylward	Sean	Toronto	ON 1987
Aziz	Andrew	Toronto	ON 1988
Barnes	Lyndon	Toronto	ON 1973
Barrett	Laurie	Toronto	ON 1982
Bayne	Chad	Toronto	ON 2002
Beale	Alexis	Toronto	ON 2014
Beaumont	Robert	Toronto	ON 1986
Begun	Dov	Toronto	ON 1994
Bennett	Chris	Toronto	ON 2001
Bernasek	Joyce	Toronto	ON 2003, NY 2009
Bernstein	Sean	Toronto	ON 2015
Bhatia	Sanjana	Toronto	ON 1995
Binions	Blake	Toronto	ON 2015
Biringer	Monica	Toronto	ON 1986
Bjorkquist	Sonia	Toronto	ON 1997
Black	John	Toronto	ON 1994
Blom	R. Johanna	Toronto	ON 2004
Borins	Richard	Toronto	ON 1995
Bowman	Michael	Toronto	ON 1983
Boyd	Andrew	Toronto	ON 2014
Brown	James	Toronto	ON 2008
Bruschetta	Lia	Toronto	ON 2011
Bryce	Doug	Toronto	ON 1997
Burgess	Jeremy	Toronto	ON 2015
Burgoyne	TerrenceR	Toronto	ON 1983, ENGLAND AND WALES 1988
Callaghan	Patrick	Toronto	ON 1995

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Calvaruso	Martino	Toronto	ON 2009
Carson	RobertA	Toronto	ON 2009
Chen	Kevin	Toronto	ON 2012
Choi	Eric	Toronto	ON 2015
Chong	Janet	Toronto	ON 2010
Clark	Stephen	Toronto	ON 1978, AB 1982
Cobb	Alexander	Toronto	ON 2002
Code	Jacqueline	Toronto	ON 1998
Coleman	Richard	Toronto	ON 1982
Coleman	Allan	Toronto	ON 1999
Coop	Jack	Toronto	ON 1985
Cooper	Scott	Toronto	ON 2012
Cotric	Vanessa	Toronto	ON 2015
Cotter	John	Toronto	ON 1988
Creighton	Victoria	Toronto	ON 2009
Cymbalisty	Shawn	Toronto	ON 2014
Dacks	Jeremy	Toronto	ON 1999
Darcy	Michael	Toronto	ON 2014
Dattu	Riyaz	Toronto	ON 1984
Davachi	David	Toronto	ON 2010
Davidge	Rod	Toronto	ON 2000
Dawson	Douglass	Toronto	ON 2015
de Grandpré	Vincent	Toronto	ON 2003, NY 2001, QC 1997
De Lellis	Michael	Toronto	ON 2003
D'Elia	Caroline	Toronto	ON 2002
DeMarco	Jean	Toronto	ON 1976
DesLauriers	Mark	Toronto	ON 1983
Devir	Anthony	Toronto	ON 1993, AUSTRALIAN CAPITAL TERRITORY 1983. NEW SOUTH WALES 1989
Dharamdial	Justin	Toronto	ON 2013
Di Cesare	Allison	Toronto	ON 2015
Di Cresce	Stella	Toronto	ON 2005
Dickie	Steven	Toronto	ON 2011
Dolman	Jennifer	Toronto	ON 1991
Donald	Danna	Toronto	ON 2004
Eghan	Raphael	Toronto	ON 2010
Emakpor	Tobor	Toronto	ON 2008, ENGLAND & WALES 1994

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Eroff	Ina	Toronto	ON 2010
Fairfax	Jennifer	Toronto	ON 2003
Fekete	Michael	Toronto	ON 1993
Ferrigan	Helen	Toronto	ON 2005
Fidler	Carly	Toronto	ON 2011, NY 2012
Fine	Joanna	Toronto	ON 2006
Flisfeder	Anna	Toronto	ON 2011
Fombonne	Daniel	Toronto	ON 2013
Fraiberg	Jeremy	Toronto	ON 2000
Franklyn	Peter	Toronto	ON 1984
Fraser	Jean	Toronto	ON 1977
Fric	Laura	Toronto	ON 1995
Frith	Andraya	Toronto	ON 1998
Fullerton	Richard	Toronto	ON 1998
Gaston	Melissa	Toronto	ON 1998
Gelowitz	Mark	Toronto	ON 1991, SK 1987
Gilchrist	Donald	Toronto	ON 1985
Gillott	Roger	Toronto	ON 1996
Gleason-Mercier	Catherine	Toronto	ON 2013
Glendinning	Deborah	Toronto	ON 1990
Glossop	Peter	Toronto	ON 1986
Gokhale	Sony	Toronto	ON 2007
Gorka	Alexander	Toronto	ON 2010
Graham	Victoria	Toronto	ON 2000
Grantmyre	Michael	Toronto	ON 2012
Gray	Brian	Toronto	ON 2010
Greenspoon	Jay	Toronto	ON 2010
Groenewegen	John	Toronto	ON 1997
Gross	Wendy	Toronto	ON 1993
Grove	Geoffrey	Toronto	ON 2009
Gu	Julianne	Toronto	ON 2013
Gutkin	Adam	Toronto	ON 2010
Hall-McGuire	Rebecca	Toronto	ON 2015
Hansford	Vanessa	Toronto	ON 2011
Hanson	Jason	Toronto	ON 1982
Hart	Michael	Toronto	ON 1995

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Hartog	Adrian	Toronto	ON 1984
Heale	Amanda	Toronto	ON 2005
Heard	Joel	Toronto	ON 1994
Henry	Stephanie	Toronto	ON 2015
Hincer	Ilkim	Toronto	1997 ON, 2005 BC
Hirsh	Adam	Toronto	ON 2008
Hodgett	Simon	Toronto	ON 1992, NF & LABRADOR 1996
Horner	Clay	Toronto	ON 1986
Hughes	Timothy	Toronto	ON 2000
Hulme	Lauren	Toronto	ON 2013
Hunnisett	Geoffrey	Toronto	ON 2009
Hunter	Rosalind	Toronto	ON 2006
Hurwitz	Joshua	Toronto	ON 2013
Innes	Michael	Toronto	ON 2001, MASSACHUSETTS 1998
Ip	Sam	Toronto	ON 2014
Irving	Shawn	Toronto	ON 2004
Ivanoff	Paul	Toronto	ON 1993, NB 1991
Jackson	Christine	Toronto	ON 2011
Jamal	Mahmud	Toronto	ON 1996
Jamieson	David	Toronto	ON 2011
Ji	Kasey	Toronto	ON 2014
Kardash	Adam	Toronto	ON 1994
Kelly	Colin	Toronto	ON 2011
Kelsall	James	Toronto	ON 2013
Kennedy	Gerard	Toronto	ON 2011
Kerstens	Brandon	Toronto	ON 2015
Khaira	Sunny(Gurvinder)	Toronto	ON 2014
Khazam	Robert	Toronto	ON 2015
King	Richard	Toronto	ON 1996
Kingdom	Hannah	Toronto	ON 2015
Kingissepp	Andrew	Toronto	ON 1984
Kirsh	Marissa	Toronto	ON 2014
Kirsh	Trevor	Toronto	ON 2015
Kotschorek	Paul	Toronto	ON 2001
Krusell	Joshua	Toronto	ON 2012
Kutlesa	Nicole	Toronto	ON 2005

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Kuzma	Kaeleigh	Toronto	ON 2008
Lally	Michelle	Toronto	ON 1992
Lam	Joshua	Toronto	ON 2010
Law	Tara	Toronto	ON 2008
Ledger	Brett	Toronto	ON 1979
Lee	Desmond	Toronto	ON 1998
Lefcoe	Lauren	Toronto	ON 2014
Leibner	Jake	Toronto	ON 2013
Leith	Simon	Toronto	ON 2013
Lipkus	Nathaniel	Toronto	ON 2007, NY 2008
Litner	Paul	Toronto	ON 1990
Liu	Ted	Toronto	ON 2008
Lockhart	Andrea	Toronto	ON 2008
Lockwood	Craig	Toronto	ON 2002
Lowenstein	Larry	Toronto	ON 1983
Ludwin	Ilana	Toronto	ON 2014
Lupa	Patrick	Toronto	ON 2011
MacDonald	John	Toronto	ON 1986, NB1988
MacDougall	Andrew	Toronto	ON 1993
MacFarlane	John	Toronto	ON 1991, AB 1998
Mack	Arlene	Toronto	ON 2012
Manno	Rachel	Toronto	ON 2012
Marin	Jonathan	Toronto	ON 2011
Marley	Patrick	Toronto	ON 1997, NY 2004
Marshall	Douglas	Toronto	ON 1989
McCarthy	Ethan	Toronto	ON 2015
McIlveen	Bridget	Toronto	ON 2010
McKean	Heather	Toronto	ON 1982
McWhaw	Jennifer	Toronto	ON 2015
Meghji	Al	Toronto	ON 1990, AB 1995
Miao	Amelia	Toronto	ON 2012
Michaels	Daniel	Toronto	ON 2013
Mihailovich	Pooja	Toronto	ON 2006
Milet	Matias	Toronto	ON 2005, NY 2000,
Millar	Sarah	Toronto	ON 2003
Milligan	Peter	Toronto	ON 1983

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Mitchell	Timothy	Toronto	ON 2011
Mochrie	Dominic	Toronto	ON 2002
Moffatt	Kelly	Toronto	ON 1992
Morassutti	Paul	Toronto	ON 1988
Morgan	Eric	Toronto	ON 2011, SOLICITOR SUPREME COURT OF ENGLAND & WALES 2010
Morier	Drew	Toronto	ON 2002, QC 2003, AB 2010
Morley	Kevin	Toronto	ON 1987
Morritt	David	Toronto	ON 1984
Murray	Blake	Toronto	ON 1975
Murray	Christopher	Toronto	ON 1985
Nanji	Al-Nawaz	Toronto	ON 2003
Naudie	Christopher	Toronto	ON 1997
Nielsen	Ryan	Toronto	ON 2009
Nurmohamed	Sameer	Toronto	ON 2014
Obal	Shelley	Toronto	ON 1985
O'Brien	Kevin	Toronto	ON 2005
Paterson	Mary	Toronto	ON 2005
Pavic	Sonja	Toronto	ON 2013
Pearlstein	Jason	Toronto	ON 2014
Podzyhun	Michael	Toronto	ON 2013
Ponder	Dale	Toronto	ON 1982
Poysa	Sven	Toronto	ON 2006
Pressman	Emmanuel	Toronto	ON 1998
Qu	Shujie	Toronto	ON 2015
Rankin	William	Toronto	ON 2013, AB 2011
Rauccio	Lindsay	Toronto	ON 2010
Reid	Aislinn	Toronto	ON 2009
Rienzo	Douglas	Toronto	ON 1994
Riesterer	Patrick	Toronto	ON 2011
Ritchie	Lawrence	Toronto	ON 1996
Ritchie	Matthew	Toronto	ON 2014
Roberts	Nancy	Toronto	ON 1996
Rochkin	Marta	Toronto	ON 2014
Rodal	Shuli	Toronto	ON 2000
Rosenblat	David	Toronto	ON 2013

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Roudev	Nick	Toronto	ON 2012
Rowe	Mary	Toronto	ON 2015
Sachar	Karin	Toronto	ON 2011
Sadikman	Jacob	Toronto	ON 2005
Sakamoto	Ryan	Toronto	ON 2010
Salloum	John	Toronto	ON 2006
Sandler	Tracy	Toronto	ON 1991
Schieman	D'Arcy	Toronto	ON 2002
Scott	Gillian	Toronto	ON 2003
Sebastiano	Rocco	Toronto	ON 1994
Sheeley	Mark	Toronto	ON 2014
Sheridan	Christopher	Toronto	ON 2012
Silverson	Jack	Toronto	ON 1988, AB 1997
Sim	Janet	Toronto	ON 1982
Smith	Steven	Toronto	ON 1982
Smith	Elliot	Toronto	ON 2008
Snyder	Matthew	Toronto	ON 2014
Solomon	David	Toronto	ON 2012
St. Aubin	Jeff	Toronto	ON 2014
Stamp	David	Toronto	ON 1992
Steele	Jana	Toronto	ON 1997, NB 2012
Stefan	Laura	Toronto	ON 2011
Stein	Lori	Toronto	ON 2001
Steiner	Joseph	Toronto	ON 1977, AB 1986
Taber	Geoffrey	Toronto	ON 1987
Tasharofi	Masoud	Toronto	ON 2015
Thomas	Evan	Toronto	ON 2007
Thompson-Kelly	Jennifer	Toronto	ON 2009
Tilak	Hemant	Toronto	ON 1987
Tomasich	Lauren	Toronto	ON 2008
Trachuk	Mark	Toronto	ON 1989, BC 1993, ENGLAND & WALES 1993
Trattner	Paula	Toronto	ON 1999
Tremblay	Richard	Toronto	ON 1979
Tsilivis	Louis	Toronto	ON 2015
Valley	John	Toronto	ON 2005
Wainstein	Rebecca	Toronto	ON 2014

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Waldner	Christine	Toronto	ON 2010
Walters	Gregory	Toronto	ON 2005
Wanford	Matthew	Toronto	ON 2011, AB 2008, ENGLAND & WALES ON 2000
Wasserman	Marc	Toronto	ON 2001
Watson	Timothy	Toronto	ON 2010
Watts	Michael	Toronto	ON 1991
Webster	Lee	Toronto	ON 1981
Wells	Allan	Toronto	ON 1996
Welsh	Patrick	Toronto	ON 2011
Whittam	Kevin	Toronto	ON 2013
Wiley	Blair	Toronto	ON 2008
Wong	Andrew	Toronto	ON 1995
Wong	Richard	Toronto	ON 1997, NY 2000
Wong	Daniel	Toronto	ON 2001
Wylie	Gregory	Toronto	ON 1992
Yeung	Diana	Toronto	ON 2009, NY 2010
Yeung	Sandy	Toronto	ON 2003
Zalewski	Anna	Toronto	ON 2000
Zaman	Kashif	Toronto	ON 2002, NY 2002
Zienius	Charles	Toronto	ON 2002
Bloom	Glen	Ottawa	ON 1980
De Pellegrin	Carina	Ottawa	ON 1999
Fong	Barry	Ottawa	ON 2010
Langen	Geoffrey	Ottawa	ON 2006
Lunn	Faylene	Ottawa	ON 2012
MacDonald	Peter	Ottawa	ON 2003
MacGregor	Ian	Ottawa	ON 1976
Vandenberg	Edward	Ottawa	ON 1998, BC 1996
Wallace	Lillian	Ottawa	ON 2015
White	Donna	Ottawa	ON 1984
White	Brad	Ottawa	ON 1998
Wilson	Patricia	Ottawa	ON 1984
Wright	Craig	Ottawa	ON 1987
Anderson	Robert	Calgary	AB 1980
Armstrong	Kelsey	Calgary	AB 2011

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Bach	David	Calgary	AB 2015
Baines	Simon	Calgary	AB 2004
Barretto	Jeremy	Calgary	AB 2011
Basra	Rumdeep	Calgary	AB 2003
Blyth	Stuart	Calgary	AB 1983
Bradley	Noralee	Calgary	AB 1991
Buckingham	Janice	Calgary	AB 1996
Burkett	Melissa	Calgary	AB 2012, MB 2008
Carson	Lorne	Calgary	AB 1981
Colic	Olivia	Calgary	AB 1995
Coyle	Elizabeth	Calgary	AB 2012
Denstedt	Shawn	Calgary	AB 1989, ON 1993
Der	Colena	Calgary	AB 2009
Desbarats	Robert	Calgary	AB 1976, ON 1976
Dial	Joshua	Calgary	AB 2014
Dixon	Olivia	Calgary	AB 2008
Duncanson	Alexander	Calgary	AB 2011
Feasby	Colin	Calgary	AB 1999
Frey	Lukas	Calgary	AB 2015
Gaston	Melanie	Calgary	AB 2002
Gelbman	Tommy	Calgary	AB 2007
Grenon	Gerald	Calgary	AB 1995
Harding	Brynne	Calgary	AB 2013
Hocher	Josef	Calgary	AB 1995
Ignasiak	Martin	Calgary	AB 1999, NWT 2012
Isaac	Tom	Calgary	Yukon 2010; AB 2006; Nunavut 2003; NT 2003; BC 2001
Jevremovic (Saric)	Dana	Calgary	AB 2011
Johnson	Jaclyn	Calgary	AB 2015
Kennedy	Jessica	Calgary	AB 2013
Killoran	Maureen	Calgary	AB 1995, ON 2001, BC 2015
Kolibar	Daniel	Calgary	AB 1989, ON 1993
Kustra	Bryce	Calgary	AB 2012
LaRose	Darren	Calgary	AB 2010
Leclair	Marissa	Calgary	AB 2015
Lehodey	Robert	Calgary	AB 1983

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Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Lemke	Kevin	Calgary	AB 2015
Liu	Lilly (Wen)	Calgary	AB 2014
Mallett	Tristram	Calgary	AB 1997, ON 1989
McGinnis	Kerry	Calgary	AB 2011
McNerney	Thomas	Calgary	AB 2014
Ngo	Steven	Calgary	AB 2015
Oleniuk	Terri-Lee	Calgary	AB 2005, NWT 2012
Olexiuk	Paula	Calgary	AB 2000
Osaka	Kelly	Calgary	AB 2007, ON 2006
Paplawski	Emily	Calgary	AB 2013
Parker	Shaun	Calgary	AB 2012
Peters	Tina	Calgary	AB 2011
Prince	Tamara	Calgary	AB 2006, SK 2003
Rigolo	Damian	Calgary	AB 2007, ON 1997
Robertson	Heather	Calgary	ON 2008, AB 2008, NY 2007
Ross	Neal	Calgary	AB 2002, SK 2001
Rowe	Edward	Calgary	AB 1996, ON 2001
Royer(Mulherin)	Katherine	Calgary	AB 2013
Samaroo	Benjamin	Calgary	AB 2014
Schappert	Taylor	Calgary	AB 2014
Schepp	Cameron	Calgary	AB 2003
Sherman	Justin	Calgary	AB 2011
St. John	Rachel	Calgary	NY 2008, ON 2010, AB 2010
Sutherland	Sean	Calgary	AB 2014
Tapuska	Edward	Calgary	AB 1987
Thiessen	Brian	Calgary	AB 1998
Thiessen	Ted	Calgary	AB 2005
Turner	Frank	Calgary	AB 2002, ON 1993
Vandale	Joanne	Calgary	AB 2005
Weberg	Heather	Calgary	AB 2014
Whyte	Andrea	Calgary	AB 2000
Wong	Heidi	Calgary	AB 2006
Wroblewski	Lily	Calgary	NL 2012
Zuniga	Daniel	Calgary	AB 2013
Anaman	Amma	New York	NY 2012
BudabinMcQuown	Michael	New York	NY 2010

Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Colan	Kevin	New York	NY 2002
Comerford	Jason	New York	NY 2001, ON 1999
Corcoran	William	New York	NY 2006, MASSACHUSETTS 1988
Hardy	David	New York	NY 1976
Herr	Andrew	New York	NY 2000, ON 1997, SUPREME COUR OF ENGLAND & WALES 2001
Kushner	Marc	New York	NY 1989, NEW JERSEY 1989, DISTRICT OF COLUMBIA 1990, MAINE 1990
Lando	Robert	New York	NY 1991, ON 1991
Lee	Jennifer	New York	NY 2010
Lurie	James	New York	NY 1977
Morse	Alix	New York	ON 2013
Pratt	Randall	New York	ON 1992
Sadofsky	Matthew	New York	NY 2007
Seraganian	Paul	New York	NY 2001
Wallwork	Adam	New York	NY 2014
Whitaker	Nathan	New York	NY 2008
Wright	Ramin	New York	NY 2015
Abitan	Sandra	Montreal	QC 1990
Amram	Raphael	Montreal	QC 2010, ON 2011
Amyot	Sophie	Montreal	QC 2013
Arendas	Mikulas	Montreal	QC 2013
Beauregard	Nathalie	Montreal	QC 1989, NY 1989
Benoît	Fabrice	Montreal	QC 1995
Bouzaglou	Carine	Montreal	QC 2004, NY 2005
Brender	Mark	Montreal	QC 1990
Brisset	Jeremy	Montreal	QC 2011
Burdon	Geneviève	Montreal	QC 2012, ON 2012
Cavaliere	Chloe	Montreal	QB 2015
Cloutier	Nicole	Montreal	QC 1985
Cohen	Samuel	Montreal	QC 1996
Desrosiers	Martin	Montreal	QC 1983
Fallon	Alexandre	Montreal	QC 2010, ON 2009
Forget	Jean-Francois	Montreal	QC 2013
Fournier	Alain	Montreal	QC 2003
Gagnon	Hugo-Pierre	Montreal	QC 2006
Gallant	Annie	Montreal	QC 2010

Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Gauthier	Bastien	Montreal	QC 2006
Gould	Natasha	Montreal	QC 2014
Greenaway	Christopher	Montreal	QC 2014
Guindi	Shahir	Montreal	QC 1990, NY 1990
Hammal	Fady	Montreal	QC 2011
Harding	Jessica	Montreal	QC 2013
Hynes-Gagné	Julien	Montreal	QC 2015
Jodoin	Christiane	Montreal	ON 1984
Larose	Carole	Montreal	ON 1984
Laurin-Pratte	François	Montreal	ON 2015, QC 2015
Levitt	Brian	Montreal	QC 2001, ON 1975
Levy	Eric	Montreal	QC 1996
Lussier	Sylvain	Montreal	QC 1978
Main	Christopher	Montreal	QC 2002
Martin	Alexandre	Montreal	QC 2011
Massicotte	Etienne	Montreal	QC 1988
Meloche	Elizabeth	Montreal	QC 2005
Morissette	Julien	Montreal	QC 2009, ON 2009
Nadeau Ouellet	Nicolas	Montreal	QC 2008
Oliver	Matthew	Montreal	QC 2012
Paradis	François	Montreal	QC 2003
Penta	Antonella	Montreal	QC 1999, ON 2002
Plamondon	Frederic	Montreal	QC 2013
Préfontaine	Éric	Montreal	QC 2001
Raizenne	Robert	Montreal	QC 1981, ON 1997
Ranger-Musiol	Julien	Montreal	QC 2006, ON 2007
Richardson Arnould	Marc	Montreal	QC 2012
Santoro	Vitale	Montreal	QC 1998
Scheim	Laura	Montreal	QC 2014
Shi	Ziyi	Montreal	QC 2014
Stébenne	Antoine	Montreal	QC 1998
Thivierge	Manon	Montreal	QC 1986
Touchette	Louise	Montreal	QC 1985
Troulis	Constantine	Montreal	QC 1987
Ubani	Chima	Montreal	QC 2008
Verdon-Akzam	Marjolaine	Montreal	QC 2014

3/6

Osler Hoskin Harcourt LLP
CLLAS - List of Lawyers as of March 1, 2016

Last Name	FirstName	Office	Year of Call (Actual)
Wetherly	Caitlin	Montreal	QC 2015
Wilson	David	Montreal	QC 2014
Yalden	Robert	Montreal	QC 1992, ON 1991
DuPlessis	Jacques	Vancouver	BC 2013
Longo	Mark	Vancouver	ON 1994
Ross	David	Vancouver	BC 2012
Sharlow	Karen	Vancouver	BC1982
Webb	Laura	Vancouver	ON 2012
Young	Justin	Vancouver	BC 2010, ON 2003

APPENDIX C
ACTIVE NON-LAWYER CONSULTANTS OF THE FIRM AS OF MARCH 1, 2016
(Excluding Patent & Trademark Agents)

Name of Firm: Osler, Hoskin & Harcourt LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
N/A			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure:		Type of Exposure:	
Insurance Carrier:		Insurance Carrier:	
Policy Number:		Policy Number:	
Period of Insurance:		Period of Insurance:	
Retroactive Date:		Retroactive Date:	
Limits:	\$ _____ per claim, \$ _____ aggregate	Limits:	\$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: Osler, Hoskin & Harcourt LLP

- The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured.
- Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
- The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name).
- The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
- Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault.
- Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault.
- Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
- There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
- Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: Osler, Hoskin & Harcourt LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
N/A		

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office
N/A		

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
New York	2	13	3

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: Lawyers Professional Liability Insurance
Insurance Carrier: Lexington Insurance (60%), Continental Casualty (12.5%), Scottsdale Insurance (27.5%)
Policy Number: 01-406-02-20
Period of Insurance: July 1, 2015 to July 1, 2016
Retroactive Date: _____
Limits: \$ 30,000,000 per claim, \$ 30,000,000 annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ annual aggregate

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2015

Name of Firm: Osler, Hoskin & Harcourt LLP

Already on file

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: Osler, Hoskin & Harcourt LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

See attached

Osler, Hoskin & Harcourt LLP

Errors and Omissions – Risk Management

Osler, Hoskin & Harcourt LLP manages the risk of errors and omissions on the part of its legal professionals through a number of different initiatives:

Responsibility for Professional Liability Risk Management

The Firm has an Ethics and Conflicts Committee that has overall responsibility for managing and dealing with issues relating to ethics or conflicts. The Committee is responsible for making decisions on potential conflicts and developing our processes and procedures relating to the management of ethical walls. Members of the Committee also provide on going advice on potential ethical issues or any issue relating to professional responsibility. The Committee also has general oversight and administration of the Firm's policies on confidentiality and insider trading.

The Firm also has appointed a partner to be responsible for all E&O matters. Such partner is consulted on and monitors all claims and possible claims against the Firm and reviews all filings made in respect of any claims. The partner also attends various sessions dealing with risk management put on by CLLAS and other external organizations.

Risk Management Policies and Procedures

The Firm has an umbrella Quality Assurance Policy setting out general standards and expectations and a number of other policies to address specific areas of risk. These other policies are:

- Policy on Engagement Letter and Client Service Terms
- Policy on Rendering Legal Opinions
- Procedures relating to conflicts
- Policy on Acting as Directors, Officers, Trustees or Business Persons
- Policy on Insider trading
- Confidentiality Policy
- Policy for Reporting Violations of the Law and Breaches of Duty (Up the ladder reporting)
- Errors and Omissions Policy
- Policy on Internet and Email Use

The Firm conducts an annual certification process, requiring all legal professionals and staff to confirm that they have complied with the key quality assurance policies during the past year.

Work Intake

The Firm has a Client Screening policy and has appointed 2 partners as Gatekeepers to administer the policy. Gatekeeper approval must be obtained for all new clients and for certain new matters.

The Firm has a rigorous conflicts system to help identify any potential client conflicts before new clients, new matters or new lawyers are taken on with the Firm.

The Firm has a set of standard Client Service Terms, required to be provided to all clients. The Terms address common service terms. In addition, an engagement letter is required to be sent out to clients on the opening of all new matters, dealing with the specific terms of engagement for the particular matter.

The Firm's Billing Management Policy identifies the circumstances in which a monetary retainer is required to be obtained from a client.

Communication and Training on Risk Management

The Firm periodically holds firm-wide mandatory training sessions for lawyers and staff on various risk management issues. All of the policies are on the Firm intranet, fully searchable, and available by topic and by role.

Training sessions are held for in-coming articling students and summer students each year, and a refresher session is held with new first year associates when they return in September of each year.

All new staff are provided with copies of all relevant policies when they arrive and are directed to the supplemental resources available on the intranet.

The Firm has participated in the development of an on-line program on certain elements of risk management, including confidentiality, conflicts and new file opening process. This on-program is sponsored by CLLAS. The program is available on our intranet and we have used it in a number of group sessions.

The Firm has also introduced a training program for new partners on risk management. This training program is held annually.

General Training

The Firm has a full-time former practising lawyer in the role of Chief Knowledge Officer. The CKO is responsible for providing on-going continuing legal education for all legal professionals, in all offices. A broad range of substantive legal programs are provided in-house on a regular basis. Lawyers also attend continuing legal education programs provided by external organizations, including the local law societies, the local Canadian Bar Association organization (e.g. the Ontario Bar Association), Insight, Federated Press and others.

Lawyers are required to track their own continuing professional development, but the Firm has put systems in place to assist them to ensure they are meeting their mandatory requirements..

The Firm also regularly provides practice oriented programs, including programs on:

- researching skills
- the rules of professional conduct
- trust accounting
- time and practice management
- docketing

- negotiations
- presentation and communication skills.

In addition to formal programs, each department and practice group holds regular meetings of all members to discuss developments in the law, practice points and other issues that help ensure legal professionals keep up to date in their areas of practice.

Resources

The Firm has an extensive Law Library, with “branches” in each office. The Library provides access to an extensive collection of legal resources both in hard copy and on-line.

Each department and practice group has identified case reports and digests, journals, newsletters and other periodicals relevant to its practice area which are circulated regularly to all members of the department to assist them in keeping up to date in developments in their areas.

Each department has developed model precedents and checklist to assist lawyers in preparing up-to-date documents and effectively managing files and transactions. Each department also collects and shares sample documents to provide lawyers with current information on industry practices and trends.

Supervision

A responsible partner is assigned to each client file. The work of all articling students and associates is supervised by a more senior lawyer, to the extent appropriate in each circumstance. All students and associates are encouraged to ask questions whenever they are unsure of their instructions or direction.

Tickler System

The Firm uses a centralized tickler system to keep track of limitation periods and other critical dates. The IP department uses InProtec to track critical dates.

Practice Management Guidelines

The Ontario Law Society has produced practice management guidelines to assist lawyers and law firms in assessing, maintaining and enhancing their quality of service. The Firm has reviewed these Guidelines against the Firm’s practices, procedures and resources.

Personal Management

The Firm has an employee assistance program available to all legal professionals to help them deal with personal or work related stress. The Firm subsidizes membership at local fitness organizations for students and associate lawyers to assist them in gaining a balanced lifestyle and deal with stress.

Administrative Support

The Firm has well organized and operated administrative departments, under the direction of subject area professionals, to provide support and procedures in their area, including Records

Management, Finance & Accounting, Human Resources, and Marketing and Client Development.

March, 2016

APPENDIX H
CYBER LIABILITY

Name of Firm: Osler, Hoskin & Harcourt LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest
☒ Data in transit
☒ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no

- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no

- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no

- e) Do you require that passwords be regularly updated? ☒ yes ☐ no

- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no

- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no

- h) Is the data on your servers encrypted? ☐ yes ☒ no

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☒ yes ☐ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes":

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches?
☐ yes ☒ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks?
☐ yes ☒ no

APPENDIX I

2016 PROFESSIONAL LIABILITY INSURANCE APPLICATION AND
EXEMPTION FORM SUBMITTED TO LAWPRO

Name of Firm: Osler, Hoskin & Harcourt LLP

See attached

Osler Hoskin Harcourt LLP

2016 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Abbott	Mary	Toronto	40320J
Aboud	Malcolm	Toronto	64298D
Ahmed	Firoz	Toronto	26439J
Allen	Jacqueline	Toronto	62089D
Amerasinghe	Chinthaka	Toronto	47050G
Anderson	Brett	Toronto	62453F
Anton	Robert	Toronto	61757R
Ashton	Courtney	Toronto	58195Q
Au	Victoria	Toronto	61761M
Auron	James	Toronto	68003D
Austin	Mark	Toronto	42421R
Aylward	Sean	Toronto	26806W
Aziz	Andrew	Toronto	28334P
Barnes	Lyndon	Toronto	13350D
Barrett	Laurie	Toronto	21942S
Bayne	Chad	Toronto	46269C
Beale	Alexis	Toronto	65902W
Beaumont	Robert	Toronto	26084Q
Begun	Dov	Toronto	35765T
Bennett	Chris	Toronto	44088P
Bernasek	Joyce	Toronto	48004I
Bernstein	Sean	Toronto	67422N
Bhatia	Sanjana	Toronto	36332I
Binions	Blake	Toronto	68013U
Biringer	Monica	Toronto	25702O
Bjorkquist	Sonia	Toronto	38973L
Black	John	Toronto	35781A
Blom	R. Johanna	Toronto	48827T
Bloom	Glen	Ottawa	20266D
Borins	Richard	Toronto	37010A
Bowman	Michael	Toronto	22976S
Boyd	Andrew	Toronto	66200T
Brown	James	Toronto	55774I
Bruschetta	Lia	Toronto	60041O
Bryce	Doug	Toronto	39364P
Burgess	Jeremy	Toronto	68028R
Burgoyne	Terrence R	Toronto	22990H
Callaghan	Patrick	Toronto	36649N
Canvin	Janette	Toronto	28489B
Carson	Robert A	Toronto	57364H
Chen	Kevin	Toronto	61439F
Choi	Eric	Toronto	67705G
Chong	Janet	Toronto	58543O
Clark	Stephen	Toronto	17371I
Cobb	Alexander	Toronto	45363F

Osler Hoskin Harcourt LLP
2016 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Code	Jacqueline	Toronto	40398E
Coleman	Richard	Toronto	21979S
Coleman	Allan	Toronto	42007W
Comerford	Jason	New York	41847W
Coop	Jack	Toronto	25293G
Cooper	Scott	Toronto	61818E
Cotric	Vanessa	Toronto	65845T
Cotter	John	Toronto	28378V
Creighton	Victoria	Toronto	57384S
Cymbalisty	Shawn	Toronto	65468M
Dacks	Jeremy	Toronto	41851R
Darcy	Michael	Toronto	66602R
Dattu	Riyaz	Toronto	23665A
Davachi	David	Toronto	58867C
Davidge	Rod	Toronto	43454V
Dawson	Douglass	Toronto	68388U
de Grandpré	Vincent	Toronto	47452E
De Lellis	Michael	Toronto	48038U
De Pellegrin	Carina	Ottawa	41457K
D'Elia	Caroline	Toronto	46808F
DeMarco	Jean	Toronto	15919W
DesLauriers	Mark	Toronto	23022E
Devir	Anthony	Toronto	34234L
Dharamdial	Justin	Toronto	63997S
Di Cesare	Allison	Toronto	68060C
Di Cresce	Stella	Toronto	51008O
Dickie	Steven	Toronto	60411P
Dolman	Jennifer	Toronto	32213F
Donald	Danna	Toronto	49538E
Eghan	Raphael	Toronto	58887N
Emakpor	Tobor	Toronto	56075Q
Eroff	Ina	Toronto	59133C
Fairfax	Jennifer	Toronto	47621G
Fallon	Alexandre	Montreal	56525T
Fekete	Michael	Toronto	34656U
Ferrigan	Helen	Toronto	51281U
Fidler	Carly	Toronto	60093W
Fine	Joanna	Toronto	52830F
Flisfeder	Anna	Toronto	60971V
Fombonne	Daniel	Toronto	64024M
Fong	Barry	Ottawa	59157U
Fraiberg	Jeremy	Toronto	43472R
Franklyn	Peter	Toronto	24049V
Fraser	Jean	Toronto	16773S
Fric	Laura	Toronto	36545Q

Osler Hoskin Harcourt LLP
2016 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Frith	Andraya	Toronto	40441G
Fullerton	Richard	Toronto	40442C
Gaston	Melissa	Toronto	41012C
Gelowitz	Mark	Toronto	31857J
Gilchrist	Donald	Toronto	24968P
Gillott	Roger	Toronto	37816L
Gleason-Mercier	Catherine	Toronto	64400A
Glendinning	Deborah	Toronto	31070N
Glossop	Peter	Toronto	26194A
Gokhale	Sony	Toronto	54280L
Gorka	Alexander	Toronto	58582R
Graham	Victoria	Toronto	42842H
Grantmyre	Michael	Toronto	62516H
Gray	Brian	Toronto	58910E
Greenspoon	Jay	Toronto	58914L
Groenewegen	John	Toronto	39084K
Gross	Wendy	Toronto	34291K
Grove	Geoffrey	Toronto	56787B
Gu	Julianne	Toronto	64040Q
Gutkin	Adam	Toronto	58285M
Hall-McGuire	Rebecca	Toronto	68430D
Hansford	Vanessa	Toronto	59814J
Hanson	Jason	Toronto	21688A
Hart	Michael	Toronto	36723Q
Hartog	Adrian	Toronto	24079D
Heale	Amanda	Toronto	51528V
Heard	Joel	Toronto	35905M
Henry	Stephanie	Toronto	68109P
Herr	Andrew	New York	39095A
Hincer	Ilkim	Toronto	39483U
Hirsh	Adam	Toronto	55239Q
Hodgett	Simon	Toronto	33292S
Horner	Clay	Toronto	26231P
Hughes	Timothy	Toronto	42885R
Hulme	Lauren	Toronto	64433Q
Hunnisett	Geoffrey	Toronto	57138G
Hunter	Rosalind	Toronto	52558O
Hurwitz	Joshua	Toronto	63697C
Innes	Michael	Toronto	44578R
Ip	Sam	Toronto	65550R
Irving	Shawn	Toronto	50035U
Ivanoff	Paul	Toronto	34315J
Jackson	Christine	Toronto	60472V
Jamal	Mahmud	Toronto	38632A
Jamieson	David	Toronto	60135R

Osler Hoskin Harcourt LLP
2016 LawPro Renewal - Listing of Lawyers

Last Name	First Name	Office	LSUC #
Ji	Kasey	Toronto	65937H
Kardash	Adam	Toronto	35929H
Kelly	Colin	Toronto	60483L
Kelsall	James	Toronto	64458H
Kennedy	Gerard	Toronto	59844O
Kerstens	Brandon	Toronto	68781I
Khaira	Sunny (Gurvinder)	Toronto	65569V
Khazam	Robert	Toronto	68134R
King	Richard	Toronto	38297H
Kingdom	Hannah	Toronto	67815N
Kingissepp	Andrew	Toronto	23758H
Kirsh	Marissa	Toronto	65954H
Kirsh	Trevor	New York	69145S
Kotschorek	Paul	Toronto	44599B
Kovitz	Jodi	Toronto	52292V
Krusell	Joshua	Toronto	62248L
Kutlesa	Nicole	Toronto	50795G
Kuzma	Kaeleigh	Toronto	55271B
Lally	Michelle	Toronto	33337B
Lam	Joshua	Toronto	58966T
Lando	Robert	New York	32321U
Langen	Geoffrey	Ottawa	51973R
Law	Tara	Toronto	55278T
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Leith	Simon	Toronto	64481R
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Litner	Paul	Toronto	31161F
Liu	Ted	Toronto	55289J
Lockhart	Andrea	Toronto	55444K
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